IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. 86114-15

In re Patent Application of Alan F. Graves

Serial No.

Group Art Unit:

Filed:

Examiner:

For: DISPERSION DISCRIMINATION AND COMPENSATION SYSTEM AND OPTICAL SWITCH FOR USE THEREWITH

INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being filed in the manner prescribed by 37 CFR 1.97(b) - (d) to satisfy the duty under 37 CFR 1.56 to disclose to the Office information, known to individuals associated with the filing and prosecution of the subject application, which is material to the examination of the application.

In accordance with 37 CFR 1.97(g) and (h), this statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b).

This information disclosure statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first official action on the merits and therefore applicant respectfully requests consideration under 37 CFR 1.97(b).

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In compliance with 37 CFR 1.98(a)(1), a list of all patents, publications or other

information submitted for consideration by the Office is hereby provided by way of the

attached Form PTO 1449.

In compliance with 37 CFR 1.98(a)(2), also enclosed is a legible copy of:

each United States and foreign patent;

ii) each publication or that portion which caused it to be listed; and

iii) all other information or that portion which caused it to be listed, excluding

any copies of a United States patent application.

It is respectfully requested that the information be expressly considered by the

Examiner and that the references be made of record and appear among the

"References Cited" on any patent to issue therefrom.

The Patent Office is hereby authorized to charge any deficiency, or credit any

overpayment in fees to Deposit Account Number 19-2550.

Respectfully submitted,

September 27, 2001

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Encls.: Form PTO-1449

All references listed on Form PTO-1449

Acknowledgement Card